

AQSE “QUALIFYING MARKETS”

May 2021

A company admitted to trading on a ‘**qualifying market**’ can apply to be admitted to trading on the AQSE Growth Market under a fast-track application process.

For Apex, the qualifying markets are:

- Any “SME Growth Market”* registered in accordance with Article 33 of Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MIFID II);
- Any “regulated market”** authorised in accordance with Article 2a of UK EMIR.

For Access, the qualifying markets are:

- Any “SME Growth Market” registered in accordance with Article 33 of Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MIFID II);
- Any “regulated market” in accordance with Article 2a of UK EMIR;
- NASDAQ Capital Market, NASDAQ Global Market and NASDAQ Global Select Market operated by NASDAQ US.

AQSE may consider additional markets on application.

*The register of SME Growth Markets can be found at https://registers.esma.europa.eu/publication/searchRegister?core=esma_registers_upreg

** The list of third country markets considered equivalent to a UK Regulated Markets can be found at <https://www.fca.org.uk/publication/fca/fca-list-regulated-markets.pdf>