



The 'Summer quarter' is always one of two halves for financial markets: the quiet holiday months of July and August, followed by a frenetic September with 'back to work' activities and a deluge of interim results from corporates. For AQSE it has been busy throughout, with new market makers joining our incentive scheme and several very exciting IPOs.

A particular highlight for us has been the results posted by our parent company, Aquis Exchange PLC, which showed revenues up 37% to £6.7m for the 6 months ended on June 30th 2021. EBITDA more than tripled to £1.6million and pretax profits rose to £1million.

One area of challenge is the delay by on-line trading platforms in making the AQSE stock universe available to their retail investors. This puts the public at a disadvantage and needs to change. The playing field must be level for all. We are continuously working with the wider broking community to improve the situation, but you can help too. If your broker isn't giving you full and fair access to trade all UK-quoted companies, please ask them to do so by contacting them directly and let us know what the response is. Working together we can make this happen! Thank you.



## AQSE MARKET UPDATE

**'Fintech guru' Danny Lopez joins board**



We are delighted that Danny Lopez joined the AQSE board at the end of August, as a non-executive director. Danny is CEO of Glasswall – the award-winning cyber security firm – and is widely regarded as a ‘fintech guru’. He is on the board of Innovate Finance in the UK and a special advisor to NY-based venture capital firm FinTech Collective. As well his passion for new technologies, Danny has worked in banking and diplomacy.

### **Market Maker scheme growing**

Marex and Singer Capital Markets became the latest to join AQSE’s innovative market-making incentive scheme. They joined in August and they now participate alongside Canaccord Genuity, Liberum, Peel Hunt, Shore Capital, Stifel and Winterflood Securities.

### **On-line brokers hold the key**

On-line brokers hold the key to achieving our goal of ‘bringing the public back into the public markets’. Without broad, electronic access provided by on-line brokers, the private investor is at a huge disadvantage and cannot participate on an equal basis as institutions.

Currently, securities in AQSE companies can be traded on-line by the following brokers: AJ Bell, Barclays, interactive investor and Jarvis.

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## **AQSE NEW ISSUERS**



We were delighted to welcome three new companies to our growth market in Q3.

**Helium Ventures**, formed to identify investment opportunities and acquisitions in the natural gas sector with a particular focus on helium, raised £924k in July.

**Yooma Wellness** raised £7.5m in August in the largest fund raising for a medicinal cannabis company on our market so far. Yooma is a hemp and CBD business with operating subsidiaries in the UK, France, China, Japan, and the United States.

In September, **VSA Capital Group**, an international investment bank and broking firm with offices in London and Shanghai, and one of our very own corporate adviser members joined our growth market. VSA acts as retained adviser or broker to listed or quoted companies on various exchanges.

**Seventeen companies have now raised over £80m on AQSE so far this year.**

Interest is high and our pipeline of companies coming through is strong... we're looking forward to a busy Q4!

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## SELECTED COMPANY NEWS

Below is a selection of developments announced by AQSE companies during the quarter:

**Cadence Minerals** made a before-tax profit of £2.84m in H1 2021 and reported significant progress of iron ore extraction by Macarthur Minerals (Australia) and DEV Mineração (Brazil).

**Clarify Pharma** made a maiden equity investment of approximately US\$700k into Beckley Psytech, a medical psychedelics research company.

**Coinsilium Group** showcased a new NFT product BlockBots by portfolio company Indorse. The CEO stated that H1 2021 saw NFT sales volumes reach \$2.47 bn.

**Good Energy**, green energy provider, boasted H1 BT profit of £4.8m, resumed dividend payments and continues to pay down its debt.

Blockchain investment company **KR1** invested a total of US\$500k into the Exponent project in return for XPN tokens.

**Rural Broadband Solutions** expanded fibre network in Shropshire whilst investing in Fixed Wireless Access (FWA) technology, tripling its three-year target to 15,000 customers.

**Samarkand Group** reported a revenue increase of 201% on 2020 and made EBITDA profit of £1.1m.

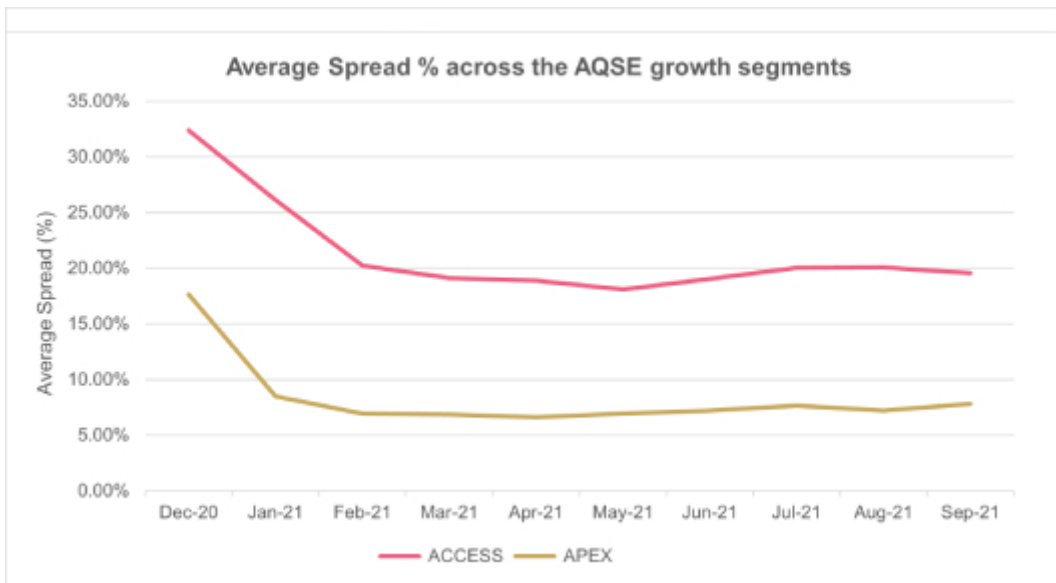
**Sativa Wellness** released maiden profits, offering COVID-19 testing alongside expanding their core wellness business.

**SuINOX Group** initiated a trial of its Fuel Conditioner product, SuLNOxEco. 40 vehicles belonging to Stannah Lifts will trial the additive seeking to decrease particulates by 50-60%.

In gold mining, **Tectonic Gold** discussed strong continuing progress in drilling at its Specimen Hill project which is on-budget.

## LATEST STATISTICS

Please find below graphs tracking AQSE market turnover and spread reduction. Our market-making scheme came into effect in Jan21.

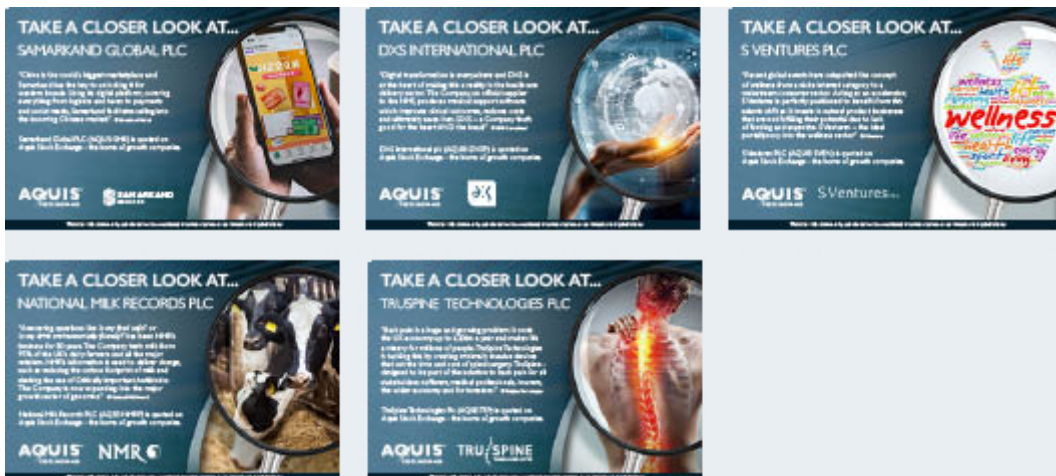


\*Turnover figures for September are subject to slight alteration



## TAKE A CLOSER LOOK

AQSE's digital postcard campaign continued throughout the summer, with the following being sent out:



## STOP PRESS - STOP PRESS - STOP PRESS

We are delighted that the following AQSE-quoted companies have been shortlisted for various awards!



### The Shares Awards 2021

[Cadence Minerals](#)

[EPE Special Opportunities](#)

[Samarkand Group](#)

[Shepherd Neame](#)

[Wishbone Gold](#)

[Valereum Blockchain](#)

The awards ceremony is on 4th November in London.

[View Shares Awards](#)



### The Small Cap Awards 2021

[Chapel Down Group](#)

[DXS international](#)

[Incanthera](#)

The awards ceremony is on 11th November in London.

[View Small Cap Awards](#)

If you have any enquiries just send us an email:  
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[www.aquis.eu/aquis-stock-exchange](http://www.aquis.eu/aquis-stock-exchange)